

**The Michael Price
Student Investment Fund**



The Funds

Growth
Small Cap
Value
Fixed Income

Resume Book

of student participants in fall 2004 and spring 2005

The Michael Price Student Investment Fund is a \$1.9 million investment pool managed directly by NYU Stern MBA students. The fund, part of the overall NYU endowment, was established in 1999 through a generous gift from Michael Price, managing partner, MFP Investors, LLC and former chairman of Franklin Mutual Series funds.

MPSIF provides a training ground for MBA students to gain hands-on experience managing a real fund with significant assets. Enrollment in the course that underlies MPSIF is a competitive process. Each year, enrollment is limited to 45 students who spend two semesters in MPSIF and are responsible for all fund activities – screening and evaluating stocks, preparing and presenting pitches for buy and sell recommendations and strategizing on broader portfolio issues as it relates to industry sectors. MPSIF students also prepare a quarterly newsletter and annual and semi-annual reports that are presented to the MPSIF Board of Advisors, Stern faculty, and other parties.

Managing the diverse tasks in MPSIF relies on teamwork and requires students to draw on their knowledge of finance, macroeconomics, accounting, competitive analysis, strategy and marketing. Through MPSIF, students are immersed in a year-long course of security analysis and market research through which they gain invaluable experience for careers in investment management.

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Financial Instruments and Markets
- Officer, Treasurer, Stern Women In Business
 - Officer, Vice President of Speakers, Association of Investment Management
- SETON HALL UNIVERSITY** South Orange, NJ
Bachelor of Science, Finance, May 2000
- *Magna Cum Laude*
 - Awarded Leadership Studies Program Academic Excellence Award
 - Beta Gamma Sigma Business Honor Society
- Experience: **XL CAPITAL ASSURANCE** New York, NY
Summer 2004 **Public Finance Intern**
- Examine financial and demographic data presented in Preliminary Official Statements for General Obligation, School District, and Water/Sewer Revenue municipal bond issuances
 - Conduct financial and sensitivity analysis, and present credit strengths and weaknesses to XLCA credit committee in order to qualify new issues.
 - Analyze S&P, Moody's, and Fitch credit research reports and recommend internal XLCA credit rating for each new issue.
 - Determine appropriate pricing based on ROE model and capital adequacy model, and submit bids to investment banks for AAA municipal bond insurance.
 - Underwrote fifty-one deals totaling \$780K in premium and \$297M in par insured
- 2002-2003 **GOLDMAN, SACHS & CO** New York, NY
Telecom Projects and Provisioning Analyst
- Managed the voice implementation, documentation, and quarterly testing of the \$1M Fixed Income, Currency & Commodities divisional Business Continuity Plan.
 - Supervised the telecom products and services web based requisition system, classified enhancements, and liaised with application programmers to integrate system with other technology inventory applications.
 - Negotiated with Equities Division Management in reducing Private Line expenditure by analyzing costs and usage to disconnect lines with low cost-benefit, saving over \$2 million annually.
 - Led semi-monthly meetings with senior technology members to discuss ongoing projects, develop standardized procedures, and document processes for various workflows.
- 2000-2002 **Telecom Move/Add/Change Coordinator – Asset Management Division**
- Managed a seven-person Move Team responsible for relocating end user voice and media equipment to support space and building projects with budgets ranging from \$1K-\$100K
 - Executed the Business Contingency Plan to relocate and deliver voice services to critical business areas during the 9/11-disaster recovery effort.
 - Implemented a 20-person trading desk with a sophisticated phone system that reduced annual expenditure by \$26,000 and increased efficiency by 30%.
 - Researched business needs by conducting surveys and analyzing business transactions in order to determine optimal technology solutions for various asset management business units.
- Additional:
- Michael Price Student Investment Fund
 - Seton Hall University Pirate Navigator Alumni Mentor
 - Member, 85Broads, New York Society of Security Analysts
 - Other interests include mountain biking, travel, personal investing, American Diabetes Association fundraising

LAURA BERGONZINI
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Finance and International Business
- President, European Business Society
 - Member, Graduate Finance Association, Association of Investment Management, Stern Women in Business, Stern Outdoor Adventures
 - Teaching Assistant, Financial Statement Analysis (Fall '04, Spring '05)
 - 1st place, JPMorgan-Stern M&A Case Competition, November 2003
- 1998-1999 **CONSORZIO UNIVERSITARIO PAVIA** Pavia, Italy
Master in Finance, April 1999
- Sponsored by Lehman Brothers
- 1997 **UNIVERSITA' BOCCONI** Milan, Italy
Bachelor's degree in Economics, April 1997
- Specialization in International Economics
 - Exchange student at the University of California at Berkeley (6 months) and member of SEN, association of students who participated in the exchange abroad program
- 2002 **GMAT**
General score: 740
- Experience:
2004 **LEHMAN BROTHERS** London, UK
Summer Associate, IB Division, Corporate Finance M&A
- Worked in the M&A pool, with focus in the consumer sector
 - Carried out a side by side and merger analysis for a UK business services company, discussed it with senior bankers and provided presentation materials
 - Prepared pitchbooks, including strategic considerations and company evaluations (DCF, comparable companies and transactions)
 - Completed and reorganized the group's coverage of the HPC sector, producing a presentation that will be the basis for the group's efforts in the sector
 - Analyzed all aspects of a possible transaction in the hotel sector and presented my findings to a group of senior bankers as part of my summer evaluation process
- 1999-2003 **INTERMONTE SECURITIES SIM** Milan, Italy
Equity Analyst, Monte dei Paschi di Siena Group
- Analyzed equities on the Italian market for the leading stock market broker in Italy (Intermonte research ranked 1st in Institutional Investor, 2002)
 - Modeled and evaluated companies using DCF, ROCE/WACC, and sector relative multiples analysis
 - Evaluated quantitatively/qualitatively corporate action operations involving covered companies: acquisitions, mergers, take over bids, capital issues, and IPOs
 - Wrote company and industry reports, presented them to sales force and institutional clients
 - Helped redesign and then co-led the small cap research team: designed the daily and weekly updates products for clients. Periodically presented the products to clients
 - Originated and participated in company roadshows to financial venues in Europe and the U.S.
 - Procured "specialist" contract for Art'e', the fastest growing small cap on the Italian Nuovo Mercato
 - Ranked consistently within the first 3 analysts in the research department since the quantitative evaluation system was created
- 1998 **BANQUE PARIBAS** Milan, Italy
Securities Services Department Intern
- Member of the team responsible to maintain relationships with clients.
- Additional:
- United Nations intern, New York, summer of 1995
 - Fluent in Italian and English; conversational in French
 - COIN program: not-for-profit internship February-April 2004
 - Other interests: glider flying, cooking, competitive skiing (until 1993), and other sports

ERIC BERTRAND
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Finance and Accounting
- Vice President of Technology, Stern Student Corporation
 - Member, Association of Investment Management & Sales, Trading and Research Club
- UNIVERSITY OF CALIFORNIA, SAN DIEGO** San Diego, CA
Bachelor of Science, Management Science, June 2000
- Provost's Honor Roll
 - Emphasis in Finance and focus in Computer Science
- Experience: **LEHMAN BROTHERS** New York, NY
2004 **Equity Research Summer Associate**
- Initiated coverage on major firm including model design, management interviews, background research and major contributions to the initiation report.
 - Updated financial models and forecasts based on company filings, guidance and research.
 - Conducted proprietary research leading to an investment thesis based around industry trends.
- 2004 **STANFIELD CAPITAL PARTNERS** New York, NY
Research Assistant
- Designed and updated financial models in conjunction with senior industry analysts.
 - Prepared and presented investment performance and risk analysis following corporate valuation.
- 2002 - 2003 **SCITEGIC, INC.** San Diego, CA
Post-Sales Manager / Application Support Engineer
- Enhanced organization response and effectiveness with call tracking software and best practices.
 - Increased customer satisfaction that led to account growth and higher retention rate.
 - Managed post-sales efforts by coordinating internal assets with customer needs.
 - Assisted sales process by providing technical support and guidance to potential customers including the creation of custom billable consulting projects.
- 2001 - 2002 **MERCHANDISING AVENUE, INC.** San Diego, CA
Program Manager
- Drove sales revenue up 400% on CashPile.com by diversifying income sources and expanding existing accounts with an incremental time cost of just 10%.
 - Established sales pipeline and delivered quality products on-time and in-spec.
 - Reduced recurring business costs by 80% through process analysis, automation and simplification.
- 2001 - 2002 **Information Systems Manager**
- Configured and managed a multiple-domain Windows 2000 Active Directory server environment that handled greater than 3,000,000 transactions per day within SQL 2000.
 - Managed an internal network including Microsoft Exchange 5.5, Outlook Web Access and VPN.
 - Provided day-to-day support for over twenty Windows 2000/XP desktop workstation users with networked file resources, printers, and e-mail.
- 2000 - 2002 **Quality Assurance Manager**
- Managed design, analysis and deployment of a .NET client-server application system.
 - Contributed writings for application deployment instructions, user manual and patent application.
- Additional:
- Level II Candidate, Chartered Financial Analyst
 - Other interests include street motorcycle riding, swimming and poker

VINCENT CHAO
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Specialization in Finance and Accounting
- Vice President of Education, Graduate Finance Association
 - Vice President of Research Report Competition, Association of Investment Managers
- CORNELL UNIVERSITY** Ithaca, NY
Bachelor of Science, Mechanical Engineering, May 1996 - Graduated *Cum Laude*
- Intern, Bristol-Myers Squibb: ConvaTec Co-operative Program
 - Elected Philanthropy Chair, Beta Theta Pi Fraternity: raised funds and trained K-2nd Grade in fire safety
- Experience: **DEUTSCHE BANK SECURITIES INC.** New York, NY
Summer 2004 **Equity Research Summer Associate – Broadline Retail & Department Stores**
- Researched correlations between key economic factors and retail industry sales to improve sales forecasts.
 - Analyzed inventory levels and sales catalysts through in-depth channel checks of retail stores.
 - Built a financial model to generate earnings estimates for use in company initiation coverage.
 - Communicated research ideas through morning team meetings.
 - Created photo-report to support analyst's investment theses.
 - Authored and published company quarterly earnings and other research reports.
- 2001-2002 **PROCTER AND GAMBLE** Mehoopany, PA
Project Manager - Global Pull-on Diaper Development
- Managed the installation, startup, and final development activities for two product upgrades that increased profits by \$41.2 million annually.
 - Analyzed quality data and implemented plans that improved the defect rate by 66%.
 - Developed project plans and managed team members.
 - Recommended improvements in equipment designs and operational procedures, to senior project management that enabled the on-schedule launch of new products.
 - Conducted risk analyses and mitigated project risk through proactive and contingency planning.
 - Established and maintained relationships with senior managers to ensure progress against project goals.
 - Documented and executed Quality Assurance protocols in order to meet FDA regulations.
- 1999-2001 **Business Leader - North American Taped Diapers**
- Led a team of 75 technicians in the annual production of \$76 million of product, across 3 production lines.
 - Implemented a maintenance program that resulted in annual savings of over \$2 million.
 - Evaluated daily, weekly and monthly performance data and developed gap plans.
 - Projected and maintained an annual department budget of over \$1 million.
 - Designed data management spreadsheets that became the plant standard.
 - Trained technicians on statistical process control methods for quality management.
- 1997-1999 **Launch Process Engineer - North American Taped Diapers**
- Directed startup activities and provided technical leadership for new product launches.
 - Evaluated and interpreted startup data using statistical process control tools.
 - Implemented an initiative readiness program that reduced startup times by 50%.
 - Used predictive models to estimate line startup times.
 - Updated plant safety, quality, and process systems in preparation for product launch.
- Additional:
- Analyst in the Michael Price Student Investment Fund
 - CFA Level II Candidate
 - Graduate assistant in the Finance Department
 - Captained Stern intramural volleyball team.
 - Co-led annual Stern food drive, helping to raise over \$4500.
 - Other interests include investing, snowboarding, volleyball, basketball, chess, and cooking

CHI KWAN (ANGELA) CHIU, CFA

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Management and Specialization in Quantitative Finance
- Winner, Association of Investment Management Research Report Challenge 2004
 - Research Analyst, Michael Price Student Investment Fund
 - Member, Association of Investment Management and Graduate Finance Association
- UNIVERSITY OF MICHIGAN BUSINESS SCHOOL** Ann Arbor, MI
Bachelor of Business Administration, May 2000
Emphases in Finance and Accounting
- Graduated with Distinction; James B. Angell Scholar Award 1997-99; Class Honors 1996-2000; Golden Key National Honor Society member since 1997
 - Georgia Institute of Technology/Fudan University - Study Aboard in Shanghai, Summer 1998
- Experience: **DELOITTE & TOUCHE LLP** New York, NY
2001-2004 **Senior Analyst, Securitization Transaction Team**
- Modeled cash flows of securitization and structured finance transactions for investment bank clientele.
 - Performed varying economic scenario modeling, Rating Agency stress testing and break-even analysis and weighted average life and duration analysis covering various underlying asset classes.
 - Coordinated and facilitated the preparation and execution of collateral due diligence, collateral analysis (qualitative and quantitative) and ad-hoc transaction-related services.
 - Led, supervised and trained teams of 2-4 junior analysts on such aforementioned engagements.
 - Designed and implemented Collateralized Debt Obligations modeling training module for junior modelers; developed junior modelers through one-on-one training interaction and mentor relationships.
 - Analyzed asset classes including CDO, Residential and Commercial Mortgage-Backed Securities, Asset-Backed Securities, high yield debts and credit default swaps.
 - Interacted with clients from majority of leading investment banks in the securitization market including Deutsche Bank, Lehman Brothers, Merrill Lynch, Morgan Stanley, Credit Suisse First Boston, Bear Stearns, Greenwich Capital Markets, Royal Bank of Scotland, UBS, Banc of America Securities, Citigroup, Goldman Sachs, Federal Home Loan Mortgage Corporation and Government National Mortgage Association.
- 2000-2001 **Analyst, Securitization Transaction Team**
- Performed extensive asset level due diligence using Bloomberg and various legal loan documents on underlying collateral in CDO transactions.
 - Analyzed asset and portfolio criteria compliance according to indentures.
 - Generated and analyze collateral analysis and database using Collateral Analysis Software and Excel.
 - Reviewed transaction Term Sheet and Prospectus relating to accountant's agreed-upon procedure reports.
- Additional:
- Awarded the CFA charter, September 2004
 - Member, CFA Institute and New York Society of Security Analyst (NYSSA)
 - Computer skills include Excel, Visual Basic for Applications, Lotus 1-2-3, Collateral Analysis Software and Bloomberg
 - Fluent in Cantonese and Mandarin
 - Wu-style Tai Chi disciple since 1989
 - Other interests include photography, tennis and skiing

PAMELA A. DOW, CFA
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Specialization in Finance and Financial Instruments and Markets
- Vice President and NYSSA Representative, Association of Investment Management
 - Research Analyst, Fixed Income and Equity Value, Michael Price Student Investment Fund
 - Member, Stern Women in Business, Financial Engineering Association, Graduate Finance Association
- BOSTON COLLEGE** Chestnut Hill, MA
Carroll School of Management
Bachelor of Science, Finance, May 1997
- *Summa Cum Laude*
 - Beta Gamma Sigma National Business Honor Society and Golden Key National Honor Society
- Experience: **SUNGARD SECURITIES PROCESSING** Bedford, MA
2003-2004 **Senior Business Analyst**
- Supported broker/dealers and correspondent clearing firms on the Phase3 securities processing system.
 - Analyzed clients' issues, with emphasis on general ledger accounting, and recommended/designed business and technical solutions.
- 2001-2003 **LEWTAN TECHNOLOGIES** Waltham, MA
Senior Consulting Analyst
- Managed implementation projects for iCDO, a web-based application for Collateralized Debt Obligations (CDOs) used by Collateral Managers and Deutsche Bank as a Trustee.
 - Analyzed business requirements of various CDOs and coordinated their system implementations.
 - Implemented the first asset-backed CDO and the first multi-currency deal on iCDO.
 - Modeled CDO compliance tests based on the deals' legal documents and programmed tests in XML.
 - Designed and wrote functional specifications for system enhancements to expand upon the investment vehicles that iCDO could support and to convert to a multi-currency system.
 - Created data extracts and Excel models for CDO investor reporting required by each deal's indenture.
- 2000-2001 **Consulting Analyst**
- Analyzed structure of Asset-Backed Commercial Paper Conduits for commercial bank sponsors, and configured Lewtan's Conduit Management system to meet conduits' financial and reporting needs.
 - Organized and taught training classes to clients for Lewtan's Conduit Management system.
- 1999-2000 **NEW ENGLAND FINANCIAL** Boston, MA
Senior Portfolio Management Analyst
- Generated interest rate scenarios and asset files for asset-liability management model.
 - Researched portfolios' performance for portfolio strategists and prepared presentations for Chief Investment Officer comparing portfolios' performance relative to their benchmarks.
 - Performed financial due diligence on potential business partners.
 - Designed and implemented processes to populate company's public reporting investment database.
- 1997-1998 **FIRST DATA INVESTOR SERVICES GROUP** Boston, MA
Mutual Fund Accountant
- Conducted general ledger accounting for equity, fixed income, and global long-short fund.
 - Performed performance attribution for portfolio managers as requested.
 - Prepared financial statements for monthly and quarterly reporting.
- Additional:
- Member of Boston Security Analysts Society, Inc.
 - Hobbies include cooking, running, and hiking

ROBERT E. DU BOFF, CFA
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Finance and Accounting
- Member, Association of Investment Management and the Graduate Finance Association
 - Analyst, Michael Price Student Investment Fund
 - Stern Team Captain, Zicklin Inter-MBA Asset Management Challenge
 - Co-Editor-In-Chief, *Stern Opportunity* student newspaper
- UNIVERSITY OF CHICAGO** Chicago, IL
Bachelor of Arts, Economics, June 1999
- News Section Editor, *Chicago Maroon* student newspaper
 - Vice President for Alumni Relations, Alpha Delta Phi Fraternity
- Experience: **VALUE LINE, INC.** New York, NY
2001-2004 **Equity Research Analyst**
- Analyzed 33 publicly traded companies in diverse industries, including software, machinery, and medical services, initiating coverage on eight, utilizing quantitative and qualitative methods to forecast year-ahead and three- to five-year target price ranges.
 - Developed forecasting models that were used widely throughout the research department.
 - Prepared quarterly research reports and feature articles for publication in print and on the web, providing investment analysis and opinions for a large subscriber base.
 - Collaborated on the training of new analysts, providing valuable insight on equity research as one of only six CFA charterholders on staff and improved the overall quality of the publication.
- 1999-2001 **Mutual Fund Research Analyst**
- Analyzed over 120 open-end mutual funds through discussions with portfolio managers about investment goals and strategies.
 - Edited the work of 15 analysts in preparation for bi-weekly publication.
 - Authored feature articles pertaining to current trends in the mutual fund industry.
 - Facilitated contact between analysts and several large mutual fund companies, including Fidelity, Scudder-Kemper, and MFS.
- 1998-1999 **FRIEDRICH, KLATT & ASSOCIATES** Chicago, IL
Information Technology Consultant
- Evaluated new software, ensuring seamless systems integration and enhancing worker productivity and quality control.
 - Created internal databases for inventory and administrative records to drastically improve efficiency in the software development and customer installation processes.
 - Facilitated communications between clients and the software development staff.
- 1997 **INVESTEC ERNST & COMPANY** Chicago, IL
Floor Runner, Chicago Board Options Exchange
- Facilitated communication between the order desk and brokers on the floor of the Chicago Board Options Exchange.
- Additional:
- Member, CFA Institute and the New York Society of Security Analysts
 - Eagle Scout, Boy Scouts of America
 - Interests include print photography, dog sledding, and bass guitar
 - Avid New York Jets fan

STEVEN FU

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Finance.
- Assistant Vice President of Hedge Funds - Association of Investment Management
 - Member of the Graduate Finance Association and the Asian Business Society
 - Finalist, Association of Investment Management Research Report Competition
- UNIVERSITY OF CHICAGO** Chicago, IL
Bachelor of Arts, Economics, June 1999
- Co-founder of the Investment Management Group
- Experience: **DRIEHAUS CAPITAL MANAGEMENT** Chicago, IL
2000 – 2004 **Senior Research Associate**
- Worked with a portfolio manager and an analyst in managing a \$350 million small-cap growth fund which produced annualized returns of 14.8% from 1/1/01 – 6/30/04, placing it in the top 4% of its peer group.
 - Co-managed, with an analyst, a \$40 million mid-cap growth fund which produced annualized returns of -2.2% from 1/1/01 – 6/30/04, placing it in the top 30% of its peer group.
 - Generated potential new investment ideas by reading news articles and industry reports, screening for companies in related industries to current portfolio holdings, speaking with sell-side contacts, and performing technical analysis on thousands of stock charts.
 - Researched potential new investment ideas by reading sell-side research reports, attending company presentations, listening to quarterly conference calls, meeting with company management, speaking with industry contacts and sell-side analysts, researching SEC filings and company press releases, and building detailed financial models.
 - Performed fundamental and valuation analysis of historical company financial statements and projected financial and cash flow results.
 - Recommended small-cap investments to the portfolio manager and mid-cap investments to the analyst on a regular basis.
 - Monitored and analyzed news and price action on current holdings of both funds throughout the day. Made investment decisions with the analyst on mid-cap holdings and reported significant developments with a recommended course of action to the portfolio manager for small-cap holdings.
 - Promoted to Senior Research Associate June, 2001.
- 1999 – 2000 **BROWNSON, REHMUS & FOXWORTH** Chicago, IL
Analyst
- Performed preliminary due diligence and analysis on all investment opportunities presented to clients by outside parties.
 - Developed risk/return asset allocation models based on historical returns, BRF's internal forecasts and client's risk tolerance and investment horizon.
 - Researched and interviewed potential managers for BRF's \$100 million hedge fund of funds.
 - Prepared integrated financial statements and cash flow forecasts for clients on a quarterly basis.
 - Produced a quarterly newsletter that updated our clients on financial market and planning issues.
- Additional:
- CFA Level III Candidate
 - Career and Placement Services Office of the University of Chicago Volunteer
 - Enjoy playing basketball and football, traveling, listening to jazz and watching independent films

TYLER GAYLORD

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Finance and Accounting
- Officer: Vice President of Speakers, Association of Investment Management
 - Small Cap Industrials Analyst: Michael Price Student Investment Fund
- UNIVERSITY OF COLORADO AT BOULDER** Boulder, CO
Bachelor of Science, Business Administration, August 1999
Emphasis in Finance
- *Cum Laude*
 - International Student Exchange Murdoch University, Perth Australia
- Experience: **BANK OF NEW YORK, ALTERNATIVE INVESTMENT SERVICES** New York, NY
2003-2004 **Senior Fund Accountant**
- Partnered with senior staff to manage client relationships with several alternative investment vehicles ranging in size from approximately \$100 million to \$1 billion in assets
 - Calculated monthly 'Net Asset Values' including allocations of management fees, incentive fees and income over various investors and share classes
 - Managed relationships with independent auditors to successfully complete mandatory fund audits
 - Trained and supervised junior accountants in departmental procedure, systems operations and accounting procedures
 - Collaborated with programmers to maximize automation efficiency and identify potential system improvements on an ongoing basis
- 2002-2003 **Portfolio Accountant**
- Produced precise monthly portfolio valuations supported by accurate and referenced accounting records using portfolio accounting software, Excel based models and proprietary Bank of New York software
 - Analyzed securities and trading arrangements including equities, fixed income, options, futures, forwards, swaps and 'contracts for difference' using assorted pricing models
- 2000-2000 **ST. GEORGE'S BANK** Perth, Australia
Intern
- Researched and compiled information regarding mutual fund performances, verified research with fund managers, researched and prepared presentations
 - Wrote and edited fund performance summaries for intra-company publications
 - Assisted executives in the Investment Research and Strategic Planning Department of St. George's Master Trust, Sealcorp
- Additional:
- Chartered Financial Analyst (CFA) Level 1 Candidate
 - 2001-2002 served in several temporary contractor positions
 - Avid skier, have skied extensively throughout the Western U.S.
 - Traveled throughout Asia and the South Pacific

TAREK HAMID
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Quantitative Finance and Accounting, Taxation and Business Law
- Value Portfolio Manager, Michael Price Student Investment Funds
 - Member, Graduate Finance Association and Association of Investment Management
 - Winner, 2003 Deloitte Case Challenge
- HARVARD UNIVERSITY** Cambridge, MA
Bachelor of Arts, History (*cum laude*), June 2001
- 30 hour a week commitment to the Varsity Track and Field team
 - Several awards and honors, including Senior Leadership award in Track and Field
 - Senior officer in campus social club, approximately ten hour a week commitment with responsibilities including collecting and managing a \$20,000 slush fund
- Experience: **JPMORGAN SECURITIES** New York, NY
Summer 2004 **Fixed Income Research Associate, Airlines**
- Partnered with senior analyst in analyzing and evaluating investment ideas in Airline credits (unsecured, secured and CDS) covering the full credit spectrum from high grade to distressed.
 - Developed industry primer focusing on structural parameters governing recovery rates of Airline secured debt in bankruptcy.
 - Built detailed cash-flow and credit ratio models for 6 major airlines with particular emphasis on jet fuel price sensitivity and labor concessions.
 - Constructed database of all actively traded airline secured debt issues tracking underlying aircraft collateral, and loan-to-value and implied recovery rates under different scenarios.
 - Delivered several presentations to investors and facilitated meetings at industry conferences and seminars.
 - Designed a new weekly publication focusing on industry events as well as relative value ideas and performance within different parts of Airline capital structures (both debt and equity).
 - Prepared a number of first call notes synthesizing the team's opinion on events in the highly volatile Airline sector.
- 2001-2003 **JPMORGAN SECURITIES** San Francisco, CA
Financial Analyst, Technology, Media and Telecom
- Completed numerous financing and advisory assignments including M&A, equity, equity-linked, high yield and bank debt.
 - Performed extensive financial modeling including capital structure, merger consequence, DCF, LBO and comparable company analyses.
 - Created pitch and other presentation materials, and participated in numerous client presentations and meetings.
 - Coordinated internal and external due diligence processes, including preparation of both credit memos and Fairness Opinions.
 - Assessed and evaluated industry credit portfolio and credit cost allocations using SVA and KMV models, in partnership with the Technology group heads.
 - Partnered with the Semiconductor coverage team in conducting intensive industry and company research in developing the sector practice.
 - Actively designed and managed the summer intern mentorship program in San Francisco as well as working on a number of recruiting committees.
- Additional:
- Proficient in Arabic
 - Panelist on CNBC's Closing Bell to discuss student investment funds (January 2005)
 - Other interests include track and field, literature, football and community service

MEHMET HASAN KOKENLI

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Financial Instruments and Markets, Leadership and Change Management, and Entrepreneurship and Innovation
- Designated Stern Scholar
 - Director of Public Relations, Entrepreneurs' Exchange, and Member, Sales, Trading and Derivatives Club
- INSTITUTE OF PETROLEUM & INVINCIBLE ENERGY** Cambridge, UK
Price Risk Management in the Oil Industry, November 2001
- KOC UNIVERSITY** Istanbul, Turkey
College of Economics and Administrative Sciences
Bachelor of Arts, Double Major, Economics and International Relations, June 2000
- Awarded *Vehbi Koc* Scholar and Dean's Honor Roll, GPA: 3.8, Top 5% of graduating class
- Experience: **DEUTSCHE BANK AG** London, UK
2004 **Summer Associate**
- Worked in OTC Derivatives and Debt Capital Markets Divisions.
 - Covered derivatives and bond markets in Central and Eastern Europe, Middle East and Africa.
 - Handled and assessed information on markets and politics in Central European markets. Analyzed historical interest rate swap spreads and convergence. Presented the findings to the trading team.
 - Improved in-house derivative pricing tools. Suggested possible trading strategies.
- 2003 **TRADECOM OILS AND MINERALS LTD.** Istanbul, Turkey
Director
- Presented the project for a 60 million Metric Tons per annum crude oil pipeline through Turkey to the Turkish and Russian Energy Ministers. Performed detailed analysis based on production and bottleneck projections, price volatility, and investment alternatives to prepare the feasibility report. Obtained the support and interest of both Ministries for further study on the project.
 - Estimated regional oil movements and possible export routes to assess the feasibility of establishing a new railroad gantry for crude oil and oil products to feed the Odessa - Brody pipeline between Ukraine and Poland. Presented the recommendations to the CEO.
 - Convinced senior traders of China National Petroleum Company to be the trader for their 20 million USD/month crude oil production in Kazakhstan to Austrian, Romanian and Israeli markets.
- 2001-2003 **Trader, Crude Oil and Oil Products**
- Traded physical crude oil and oil products and hedged price risks associated with the transactions.
 - Led collaboration with cross functional and cross geographical teams and leveraged on their information gathering networks to expand supplier base in Central Asia.
 - Negotiated a 100 Million USD/year crude oil swap deal. Evaluated the feasibility and possibilities for a new integrated trading and transportation corridor for Central Asian oil through Iran.
 - Established a new trading outfit in Fujairah, U.A.E. to expand physical trading activity in to Arabian Gulf.
- 2000-2001 **Junior Trader & Operations, Crude Oil and Oil Products**
- Coordinated financial and operational aspects of crude oil / oil products purchases in Russia and Caspian Sea Region, transportation and sale to end users in Europe.
 - Managed client relationships with a major US oil company. Initiated and followed up joint trading activity.
 - Boosted sales by 50% and increased the profit margin as a result of expansion in the customer base. Initiated a new trading strategy based on Cost-Insurance-Freight (CIF) Sales instead of Free-On-Board (FOB) Sales and on blending different types of crude oil and oil products according to customer specifications.
- Additional:
- Member, Robert College Alumni Association, and Robert College Alumni Association of America
 - Native in Turkish, intermediate in German. Other interests include traveling, tennis, skiing and off road.

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Quantitative Finance and Accounting
- Admitted as student analyst for Michael Price Student Investment Fund
 - Member of top five team in Stern Research Report Writing Competition
 - Member of Association of Investment Management and Graduate Finance Association
- DARTMOUTH COLLEGE** Hanover, NH
Bachelor of Arts, Economics, June 2000
- Graduated *Cum Laude* with High Honors in Economics
 - Jonathan B. Rintels and Lewis H. Haney Prizes for 2000 – best honors thesis in the Social Sciences and Humanities, and best honors thesis in Economics
 - Selected as one of four students from over thirty applicants for exchange program at Keble College, Oxford University, Winter 1999
- Experience: **NATIONAL ECONOMIC RESEARCH ASSOCIATES** Washington, DC
2003 - 2004 **Analyst**
- Wrote reports for two KPMG tax shelter lawsuits after analyzing and implementing Monte Carlo simulations to value exotic options and expected outcome of investment strategies.
 - Developed method to quantify impact of market timing on long-term shareholders for over 200 mutual funds of Alliance Capital Management, Merrill Lynch, and Seligman Funds.
 - Acted as team liaison to Seligman CFO to assemble, analyze, and interpret fund data.
 - Developed and applied algorithms for fair value settlement prices for futures exchange dispute, using datasets containing over six million records.
- 2002 - 2003 **Associate Analyst** San Francisco, CA
- Wrote reports and lines of questioning for securities class action lawsuits.
 - Coordinated assembly of data submission among fifty + Conoco business managers and counsel for FTC approval of the Conoco-Phillips Petroleum merger.
- 2001 - 2002 **Research Associate**
- Calculated damages for accounting fraud securities litigation by applying models and programs such as NERA's multi-trader model.
 - Initiated and coauthored Visual Basic macros for creation of standard work product, which reduced work time by a factor of four; these macros are currently used on a daily basis.
- 2000 **BANC OF AMERICA SECURITIES, LLC** Palo Alto, CA
Analyst
- Valued technology firms using comparable company analyses and discounted cash flow models.
 - Developed a revenue tracking model which was later adopted for client's internal use.
- Additional:
- Member of CFA Institute (CFAI) and New York Society of Security Analysts (NYSSA)
 - Continuing volunteer in the IRS VITA program, preparing taxes for low income people
 - Interests include running marathons, knitting, and reading

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Financial Instruments and Markets and Accounting
- Vice-President, Association of Investment Management
 - Portfolio Manager, Stern Student Investment Fund – \$2.0M assets under management
 - Participant and Mentor, NYSSA Equity Research Report Challenge
 - NYU Team Participant, Cornell Stock Pitch Competition
 - Stern Scholar
- DUKE UNIVERSITY** Durham, NC
Bachelor of Arts, Economics, May 2000
- *Magna Cum Laude*, Phi Beta Kappa
 - President, Navigators Christian Ministry
- Experience: **GLOBAL INVESTORS** New York, NY
2004 - current **Investment Analyst**
- Researched investment ideas at long/short equity hedge fund focused on small cap companies.
 - Recommended investment strategies based on fundamentals and proprietary valuation techniques.
 - Analyzed different trading strategies including use of derivatives, correlation, and risk management
- Summer 2004 **DEUTSCHE BANK SECURITIES** New York, NY
Equity Research Associate – Food Manufacturing
- Managed detailed company financial models for forecasting fundamentals and M&A impacts.
 - Valued companies using DCF, multiples, hybrid DCF/EVA, and proprietary valuation models.
 - Crafted and published earnings previews and reports analyzing company fundamentals.
 - Discussed industry trends, strategy, and performance with senior management in meetings.
 - Applied statistical analysis to uncover potential drivers of companies' stock performance.
 - Actively supported overall coverage of industry with *Institutional Investor* ranked senior analyst.
- 2002-2003 **AT&T CORPORATION** Bridgewater, NJ
Finance Manager
- Analyzed competitors' financials for competitive advantages to transform into sales initiatives.
 - Pitched AT&T's financial stability and liquidity to executives of Fortune 500 customers – successfully won business worth over \$100M.
 - Trained global sales force of 7,000 to "sell" AT&T's financial positioning through monthly conference calls and scripted sales material.
 - Developed statistical analysis and regression models to uncover key drivers of sales profitability.
 - Coordinated multiple managers on initiatives to track ROI of over \$1.0B in Sales investments.
 - Received multiple performance awards including the "AT&T Leading Change" award for exceptional leadership and initiative and the "AT&T Spot Award" for outstanding teamwork.
- 2000-2002 **Associate Finance Manager – Financial Leadership Program**
- Forecasted industry and economic trends – including GDP growth, industry growth, and product demand growth in telecom.
 - Actively tracked competitive trends and movement using analyst reports, financial statements, earnings calls, credit rating reports, and other research.
 - Published quarterly, in-depth competitive comparison reports on Sprint and WorldCom for distribution to senior executives.
 - Managed P&L and DCF analysis for over 60 investment ideas – approximately \$120M capital.
- Additional:
- Candidate, Level II CFA Exam
 - Other interests include golf, college basketball, crew, and community service

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Specialization in Finance and Economics
- Stern Scholarship Recipient
 - Conference Committee Member, Stern Women in Business
 - First-year VP Treasury, Stern Pharmaceutical and Healthcare Association
 - Analyst, Michael Price Student Investment Fund, Growth Fund
 - Participant, Association of Investment Management (AIM) Research Report Competition
 - Member, Graduate Finance Association and Sales, Trading & Research Club
- UNIVERSITY OF VIRGINIA** Charlottesville, VA
McIntire School of Commerce
Bachelor of Commerce, May 2001
- Specialization in Accounting and Management Information Systems
 - Dean's List, Third-year Class Council
 - Treasurer, Indian Students Association
- Experience: **ERNST & YOUNG LLP** McLean, VA
2002-2004 **Senior Auditor, Technology, Communications, and Entertainment Group**
- Performed cross-functional, worldwide audit engagements and reviews for healthcare systems and HMOs, technology companies, and not-for-profit organizations.
 - Managed and executed audit engagements by supervising multiple projects and teams simultaneously, developing audit strategies, writing reports, and budgeting.
 - Researched evolving accounting issues, including Sarbanes-Oxley compliance, stock-based compensation, revenue and expense recognition policies.
 - Analyzed client financial information and advised clients on the effect of accounting pronouncements related to pension and post-retirement benefits, impairment of long-lived assets, and stock-based compensation.
 - Served as lead auditor on an S-1 private placement offering for a medical devices company with annual net sales of \$88 million.
 - Ensured compliance of client financial statements with GAAP, as well as with corporate, local, state, and federal regulations.
 - Presented industry trends, financial performance, and results of audit procedures to senior client management, members of the boards of directors and audit committees.
 - Conducted overall business review of client processes, controls, and operations; evaluated their effectiveness and delivered written recommendations to management.
 - Forecasted a \$15 million audit budget and monitored profitability monthly.
- 2001-2002 **ARTHUR ANDERSEN LLP** McLean, VA
Staff Auditor, Enterprise, Communications, and Services Group
- Analyzed and audited financial statements for clients in a variety of industries, including manufacturing, software and telecommunications, healthcare, and governmental entities.
 - Conducted detailed interviews of client personnel from warehouse employees to senior management and provided recommendations for improving internal control systems.
 - Performed due diligence for potential IPO offering of data communications services provider with revenues of \$200 million.
- Additional:
- Candidate for CFA Examination, Level I
 - Member of the Virginia Society of CPAs
 - Fluent in Hindi and Telugu; familiar with Spanish
 - Interests include photography, travel, and foreign films

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Finance and Accounting
- Co-President, Association of Investment Management
 - Research Analyst, Michael Price Student Investment Fund
- SUNY BINGHAMTON** Binghamton, NY
Bachelor of Science, Management with Finance concentration, May 1998
- Co-Founder and Editor-in-Chief of bilingual student magazine *Han Perspective*
 - Mid-Atlantic Regional Chair, Korean-American Intercollegiate Network (KIN)
 - Study Abroad, The London School of Economics and Political Science
- Experience: **SIRE MANAGEMENT CORPORATION** New York, NY
2004-present **Analyst, Fund of Hedge Funds**
- Maintained internship position for top-performing fund-of-hedge funds with \$400 million under management and a track record of over 10 years in the long-short equity niche.
 - Collaborated closely with senior partners in the creation of innovative new models using Excel and PerTrac in order to analyze manager performance as well as profit attribution and the effectiveness of allocation decisions within each of the partnerships.
 - Assessed the capabilities of numerous hedge fund managers during face-to-face meetings where fund strategy, investment philosophy, methodologies for idea generation and the evaluation of those ideas were discussed and examined.
- 2000-2003 **FIRST NEW YORK SECURITIES, LLC** New York, NY
Proprietary Trader, International Equities Desk
- Managed an international proprietary equity book for over 2 ½ years and solely responsible for producing in excess of \$1 million in net profits.
 - Increased overnight capital limit from \$250,000, at the conclusion of a 10 month training program working under senior traders, to \$4 million most recently.
 - Engaged in continuous brainstorming with brokers and traders to develop and implement strategies that included short-term flow trading, cross-border risk arbitrage and adr-ord directional arbitrage.
 - Actively traded all major international equity markets including those in Western Europe, Japan, and Australia in addition to U.S. markets.
 - Covered foreign currency exposure of equity positions by trading in the foreign exchange market.
- 1998-2000 **ARTHUR ANDERSEN, LLP** New York, NY
Analyst, Corporate Finance/M&A Consulting
- Navigated financial statements to create comparable company, precedent transaction and discounted cash flow models.
 - Worked in multiple concurrent deal teams focused on middle-market M&A transactions across various sectors including aerospace parts, film plastics, paints and coatings and the internet.
 - Performed extensive market research to determine strategic fit between acquirers and targets.
 - Collaborated in the writing and proofing of numerous pitch books and confidential memoranda.
- Summer 1997 **SAMSUNG ELECTRONICS, CO** Seoul, South Korea
Intern, International Finance Group
- Assumed rotational position at company's worldwide headquarters, circulating through the International Finance, Domestic Finance and Foreign Exchange groups.
 - Analyzed the bond market with senior Samsung finance staff and investment bankers during the planning stages of a bond offering.
 - Prepared staff for, and attended, meetings with institutional investors and investment bankers.
- Additional:
- Candidate, Chartered Financial Analyst - Level II.
 - Earned Series 7 and 55 licenses.
 - Captain, Ultimate Frisbee Club Team – 7 Express

HELIO ROMERO DE DIEGO

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- Education: **NEW YORK UNIVERSITY** New York, USA
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Finance and Management
- Vice President, Financial Engineering Association, Latin American Business Association
 - Analyst, Michael Price Student Investment Fund (MPSIF)
 - Teaching Fellow, "Entrepreneurial Finance" Professor Roy Smith
 - Teaching Fellow, "Global Banking" Professor Ingo Walter
- INSTITUTO DE ESTUDIOS FINANCIEROS** Barcelona, Spain
Certified European Financial Analyst (CEFA), August 1999
Certified International Investment Analyst (CIIA), May 2002
- UNIVERSIDAD DE VALLADOLID** Valladolid, Spain
Bachelor of Arts, Economics and Business Administration, September 1997
- Erasmus Fellowship to attend one year at Universiteit Twente (Holland), 1995-1996
- Experience: **DEUTSCHE BANK AG** London, UK
Summer 04 **Summer Associate Structured and Investment Products, Global Equity Derivatives**
- Developed product presentations and pitched to the Spanish clients
 - Priced exotic options and structured products and calculated retrospective performance tests
 - Tailored and structured products for different Spanish and Portuguese clients
 - Analyzed alternative options to retail investment in Hedge Funds through derivatives
- 2000-2002 **META AGRICOLA DEL SUR S.L. / AGROEUROPENET S.L.** Valencia, Spain
Founder and Chief Executive Officer
- Modeled the DCF analysis and business plans of both companies
 - Pitched and secured venture financing of \$1.2MM to start operations
 - Founded and integrated Meta Agrícola Salobrena S.L. in 2000, with an additional investment of \$1.2MM
 - Created AgroEuropeNet S.L. in 2000, the first agricultural 'business to business' exchange in Spain, to facilitate trade of fresh agricultural products. Awarded a government R&D grant of \$180,000
 - Developed and implemented strategic agreements with Banesto (Banco Santander Group), a leading agricultural editor, and a major weather forecasting firm, to provide credibility and increase transactions
 - Led and managed a team of 5, taking on all executive tasks for the companies
 - Negotiated the sale of 2% of the assets for \$105,000 to a leading utility company
- 1998-2000 **FIBANC, MEDIOLANUM BANKING GROUP** Barcelona, Spain
Corporate Finance Associate
- Advised public and private firms such as a major chemical company, a leading food processor, and a large insurance company, on stock market operations
 - Developed and managed client relationships increasing the portfolio of permanent advised clients from 3 to 6
 - Developed the bank's underwriting business and managed FIBANC's share in the underwriting of 11 IPOs and SPOs deals in the Spanish market including Endesa, Telefonica, Repsol YPF, Terra Network and BBVA
 - Promoted and coordinated an interdepartmental team to enable the success of the underwriting operations
 - Arranged best effort deals in Pan-European's SPOs and IPOs in Deutsche Telekom, EADS and KPN
 - Co-led a \$30MM convertible bond issue for a leading chemical company
- 1997-1998 **META URBANA, S.A.** Valencia, Spain
Financial Analyst
- Developed a financial model to manage company cash flows
 - Designed a Holding Structure and established a fund to pool the firm's cash
- Additional:
- Charter Holder Financial Analyst (CFA), Candidate Level III
 - Member of Board of Directors of Nadir, SIMCAV S.A (Open-end Investment Fund) from 1998 to 2003
 - Member, Association for Investment Management and Research (AIMR)
 - Guest lecturer of "Globalization in Financial Markets" at Brown University

JISONG SOHN
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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2005
Emphasis in Finance
- Vice President, Global Business Conference Committee
 - Member, Association of Investment Management and Asian Business Society
- SEOUL NATIONAL UNIVERSITY** Seoul, Korea
Bachelor of Economics, February 1996
- Vice President of Planning, EHSA, 1993
- Experience: **UBS INVESTMENT BANK** Hong Kong
Summer 2004 **Summer Associate**
- Researched legal issues regarding the concurrent dual listing process and participated in a negotiation with other underwriters for underwriting agreement in US\$1 billion IPO.
 - Analyzed strategic fit and financing capability of competitors in an auction for a Korea liquor company to develop the bidding strategy of a client.
 - Prepared a capital raising plan for an Indian airline company
- 2002-2003 **DELOITTE FAS LTD. (ARTHUR ANDERSEN GCF INC.)** Seoul, Korea
Manager
- Led a team to sell two automobile component manufacturers with total assets of over \$250 million, writing information memorandums and directing due diligence teams.
 - Valued the companies using a discounted cash flow model and relative valuation methods.
 - Communicated directly with top management of client companies and prepared materials for the board meetings.
- 2000-2002 **Senior Consultant**
- Completed divestitures of three business units of a company, totaling \$100 million in transaction amount, generating fee of \$1 million, and earning another sale mandate from the client.
 - Analyzed the feasibility of a \$30 million investment plan of a spinning company, negotiated with creditor banks and revised the company's restructuring plan.
 - Conducted financial analysis and forecast for a global vulture fund for their intended acquisition of non-performing loans of total face value of over \$5 billion from two major commercial banks.
- 1998-2000 **THE BANK OF KOREA** Seoul, Korea
Junior Economist
- Monitored money markets, closely interacting with money managers of major banks and reported summarized their liquidity conditions to the policy making body.
 - Led implementation of a risk management system to reduce commercial banks' exposure to settlement risk and of a wire-transaction system to process cash transactions between commercial banks and the central bank electronically.
 - Developed Y2K contingency plan for the inter-bank settlement system and supervised tests, coordinating more than 200 participating financial institutions and other government institutions.
- 1998-2000 **THE ARMY** Tongduchon, Korea
Sergeant
- Served with U.S. Soldiers of a field artillery unit of U.S. Army in Korea (Mandatory Service) and kept accountability of \$43 million worth of equipment as supply sergeant of a unit.
- Additional:
- Certified FRM (Financial Risk Manager, GARP)
 - CFA Level 3 candidate
 - Fluent in Korean and English

RICKY (LI JING) SUN, Ph.D.

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Finance and Marketing
- NYU Stern Faculty scholarship, Mildred Elperin scholarship (both merit-based)
 - GMAT: 760 (Verbal: 41, Math: 51)
 - VP, Stern Pharmaceutical and Healthcare Association
 - Research Analyst, Michael Price Student Investment Fund
 - Member, Association of Investment Management, Sales Trading and Research Club
- HARVARD UNIVERSITY** Cambridge, MA
Doctor of Philosophy, Chemistry, March 2001
- Authored 8 publications in world renowned journals such as Cell, PNAS and Biochemistry
- BEREA COLLEGE** Berea, KY
Bachelor of Arts, Chemistry, *Summa cum laude*, May 1995
- Ranked 1st in graduating class of 500
 - Austin Scholar Award (highest academic achievement at Berea College)
- Experience: **TRADITION ASIEL SECURITIES, INC.** New York, NY
2004-2005 **Equity Research Assistant**
- Designed and updated financial models for four mid-cap biotech companies based on company filings, guidance, and research.
 - Analyzed financial statements and performed DCF and comparable company valuations.
 - Participated in earnings calls and contributed in developing notes and comments.
 - Conducted fundamental research by attending industry conferences and interviewing industry practitioners. Wrote industry analysis reports on both oncology and diabetes drug market.
 - Assessed competitive positioning of coverage companies and presented investment thesis to clients.
- 2002-2004 **MICROBIA INC.** Cambridge, MA
Project Manager / Senior Scientist
- Conducted market research and built comprehensive product valuation models using statistical simulation methods to identify critical product value factors. Efforts resulted in shortening of the product development cycle by two years.
 - Wrote research reports, including market assessment, new product opportunity, and R&D studies, and presented summary results to senior management.
 - Led scientific team in biostatistical analysis and discovered key gene markers for in anti-infectives drugs. Created product opportunities worth over \$500MM and authored two patents.
 - Conceived, designed and directed the development of the first company-wide knowledge management software system for collaborative research. Achieved annual cost saving over \$250K.
 - Managed contract negotiations with contract research organizations and academic institutions. Collaborated externally to solve the structure of a novel drug with over \$1B market opportunity.
- 2000-2004 **ACI GROUP INC.** Wakefield, MA
President and Co-founder
- Managed one-year turnaround by focused business strategy on IT services. Grew revenue from \$10K to \$40K+ in three years.
 - Wrote business plans and presented to investors. Drafted business proposals and negotiated service contracts worth over \$120K.
 - Performed project financial analysis, budgeted, and spearheaded a cost-control initiative that cut operating expenses by 50%.
- Additional:
- CFA level I candidate. Student Member, New York Society of Security Analysts.
 - Cambridge Fitness Club basketball league champion 2003-2004. Ranked as one of the world's top 100 players in Strategy PC game-Age of Empires.
 - U.S. Permanent Resident. Native Chinese speaker, basic in Japanese.

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Finance and Specialization in Strategy
- Fellow of the Robert A. Toigo Foundation, Class of 2006
 - Vice President, Japan Business Society
 - Member of Stern Women in Business, Association of Investment Management (AIM), Graduate Finance Association, and Asian Business Society
 - Winner of the 2004 Equity Research Report Competition, sponsored by AIM
- BABSON COLLEGE** Wellesley, MA
Bachelor of Science, Business Administration and Finance, May 2000
- Magna Cum Laude
 - Dean's List (6/8 semesters) and President's List (1/8 semesters)
- Experience: **BANK OF AMERICA (FORMERLY FLEET NATIONAL BANK)** Boston, MA
2002-2004 **Senior Associate – Corporate Banking: National Technology Group**
- Managed a portfolio of 30 corporate banking relationships, representing total commitments of \$510 million, total outstanding of \$150 million, and average deposit balance of \$23 million.
 - Strengthened customer relationships by promoting and supporting bank services such as cash management, fixed income, derivatives, trade services, and leasing, generating \$2.3 million in annual fee income.
 - Underwrote, structured, and successfully closed \$1.5 million in fee income in 2003.
 - Managed credit approval process, including creation of the Credit Offering Memorandum, and sought approval through the signature system.
 - Performed due diligence, calculated transaction returns, interacted with risk management, and wrote credit recommendations.
 - Worked with customers and legal counsel to bring acceptable transactions to bank debt market.
- 2000-2002 **Analyst / Associate – Corporate Banking: National Technology Group**
- Reviewed business plans and analyzed performance to determine repayment ability and debt capacity through various qualitative and quantitative metrics.
 - Assisted in structuring and negotiating credit facility structures using credit risk models such as KMV and the credit default swap market.
 - Provided quantitative analysis, including discounted cash flow valuation, enterprise value and transaction analysis for use in originating and structuring senior bank debt and other products.
 - Performed extensive fundamental analysis and due diligence of technology companies, including industry research, competitive analysis, and management and customer reference checkings.
 - Developed financial models for mergers and acquisitions, leveraged buyouts and recapitalizations, synthetic leases, bridge loans and other scenarios to evaluate customers' ability to service debt and structure transactions accordingly.
 - Successfully completed Fleet's credit training program and averaged 100% on all financial accounting examinations.
- 1999 **NEW PHILHARMONIA ORCHESTRA OF NEEDHAM (NPO)** Needham, MA
Management Consultant
- Conducted competitive analyses and market surveys to determine best practices and better understand the characteristics of NPO's audience.
 - Identified the opportunity to penetrate the under-56 age group market and developed a marketing plan with specific recommendations to capture this market.
- Additional:
- Fluent in Cantonese
 - Volunteer of the New York Asian Professional Extension's P.S. 1 (4th Grade) Prep Program

JOHNY TAN

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Candidate for Master of Business Administration, Class of 2005
Emphasis in Accounting, Economics, and Management
- Stern Scholar; Recipient of Ernest Bloch M.B.A. Award
 - Research Analyst – Michael Price Student Investment Fund (MPSIF)
 - Teaching Fellow – Financial Accounting and Reporting
 - Runner-up in Stern Equity Research Report Competition 2003
 - Vice President, Emerging Markets Association; Member, Association of Investment Management and Asian Business Society
- MONASH UNIVERSITY** Melbourne, Australia
Bachelor of Business (Hons), December 1999
- First Class Honors, Golden Key International Honor Society
 - Developed one-year thesis entitled “Contrarian Investment Strategies in Australia, Extrapolation and Risk”
 - Awarded a travel scholarship for Monash Finance International Study Tour program
- Experience: **SOUTHPOINT CAPITAL** New York, NY
May – Dec 2004 **Summer / Part-Time Research Analyst**
- Evaluated investment opportunities for a long/short value-oriented hedge fund. Analyzed undervalued securities, spin-offs, companies that have emerged from bankruptcy, and companies with flawed business models or operate in worsening competitive environment.
 - Conducted thorough analysis of financial statements, built detailed earnings and valuation models, performed field research, assessed industry and competitive landscape, and interviewed company management, industry experts, and competitors.
- 2002-2003 **MERCER INVESTMENT CONSULTING** Melbourne, Australia
Senior Investment Analyst
- Acted as lead adviser for one corporate defined-contribution plan and two endowment funds.
 - Developed and implemented investment objectives and strategy, asset class configurations, and manager selection for clients’ investment programs.
 - Appointed as team leader responsible for providing mentoring for junior analysts, coordinating client responsibilities, and delegating client projects to a team of nine analysts.
 - Initiated research on infrastructure investments (listed and unlisted pooled trusts) in Australia.
 - Conducted manager searches and prepared recommendation reports for total placements of approximately \$150 million in Australian equities, \$125 million in global equities, and \$200 million in fixed interest/cash.
- 2000-2002 **Investment Analyst**
- Developed financial models to facilitate investment analysis and assessment of the suitability of various investment strategies for clients’ portfolios.
 - Participated in investment manager research activities in Australian shares, private equity, fixed income, and cash asset classes.
 - Prepared clients’ monthly and quarterly investment performance reports.
 - Prepared quarterly reviews of institutional investment products under research coverage.
- Additional:
- Holds the Chartered Financial Analyst (CFA) designation
 - Languages: Indonesian (fluent) and Mandarin Chinese (conversational)
 - Enjoy reading, golf, and tennis

MICHAEL J. TURGEL, CPA

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- Education: **NEW YORK UNIVERSITY** New York, NY
Leonard N. Stern School of Business
Master of Business Administration, May 2006
Emphasis in Finance
- Member, Association of Investment Management and Graduate Finance Association
 - Vice president, Speechmasters
 - Chairperson, Outreach Committee
- UNIVERSITY OF MASSACHUSETTS – AMHERST** Amherst, MA
Isenberg School of Management
Bachelor's of Business Administration, Accounting, May 1998
- Graduated *cum laude* as a Commonwealth Scholar
 - Additional honors: Golden Key National Honors Society, Alpha Lambda Delta
 - Participated in UMass's Annual Fund fundraising Campaign, raising over \$10,000
- Experience: **BOSTON COMMUNICATIONS GROUP, INC.** Woburn, MA
2003-2004 **SEC Reporting Analyst**
- Drafted quarterly and year-end financial statements in accordance with GAAP.
 - Managed \$60 million fixed income investment portfolio. Analyzed various fixed income options and helped to increase portfolio's return by approximately 10%.
 - Prepared detailed accounting guidance on a variety of issues and implemented changes in financial reporting practices.
 - Analyzed competitors' financial statements to determine industry practices.
 - Led task force in selecting a new 401(k) plan provider and aided the implementation team.
- 2001-2003 **DELOITTE & TOUCHE** Boston, MA
Audit Senior
- Led audit engagement teams of 2 to 30 staff serving clients in a variety of industries, including biotechnology, retail and government.
 - Drafted and presented audit findings to partners and clients.
 - Supervised, trained and evaluated staff auditors and mentored junior colleagues.
 - Evaluated clients' internal control systems and recommended process improvements.
 - Prepared and presented seminars on accounting and auditing regulations and updates to large audiences.
 - Coordinated team efforts to implement changes in audit software for the governmental sector.
 - Recruited students from area universities for audit and tax practices.
- 1998-2001 **Staff Auditor**
- Audited clients' financial statements in accordance with GAAP.
 - Prepared individual tax returns.
 - Participated in Junior Achievement outreach program, serving as a classroom teacher.
- Additional:
- Member, Massachusetts Society of Certified Public Accountants (CPA)
 - Proficient in several accounting software/general ledger packages (including JD Edwards, Great Plains and Navision)
 - Member, Stern Rugby Football Club and Michael Price Student Investment Fund
 - Other interests: volleyball, weightlifting and reading